

Emkay **EMKAY GLOBAL FINANCIAL SERVICES LIMITED**
CIN No.: L17120MH1987PLC09498
Registered Office: The Ruby, 7th Floor,
Serafall Bapat Marg, Dadar (West), Mumbai - 400 028.
Tel. No.: 022-66121212; Fax No.: 022-66121259
Email: secretarial@emkayglobal.com; Website: www.emkayglobal.com

NOTICE TO MEMBERS
NOTICE OF 26th ANNUAL GENERAL MEETING, BOOK CLOSURE DATES AND REMOTE E-VOTING

NOTICE is hereby given, pursuant to applicable provisions of the Companies Act, 2013 ("the Act"), that the 26th Annual General Meeting ("AGM") of Emkay Global Financial Services Limited ("the Company") will be held on **Friday, August 28, 2020 at 11.00 a.m.** through electronic mode [video conferencing ("VC")/Other Audio Visual Means ("OAVM")] to transact the Ordinary and Special business as set out in the Notice dated 17th June 2020 convening the AGM.

Considering the extra ordinary circumstance caused by COVID -19 and in light of the social distancing norms, the ministry of corporate affairs (MCA) has vide its circular dated April 08, 2020, April 13, 2020 and May 05, 2020 ("MCA Circulars") permitted the holding of the Annual General Meeting of a company through VC/OAVM". In compliance with the provisions of the Act, MCA Circulars and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular dated May 12, 2020 ("SEBI Regulations"), the AGM is being held through VC/OAVM.

All transfer Deeds, requests for change of Address, Bank particulars/Mandates/ECS Mandates should be lodged with the Company's R&T Agent- M/s. Link Intime India Private Limited, C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400083, in case of shares held in physical form on or before 21st August, 2020. The above details in respect of the shares held in electronic form should be sent to the respective Depository Participant by the Shareholders on or before 21st August, 2020.

The Notice convening the AGM along with the Annual Report for FY2019-20 ("Annual Report") have been sent only through electronic mode on 4th August 2020 to the Members whose email addresses are registered with the Depository Participants ("DPs")/Company/Registrar and Transfer Agent viz. Link Intime India Private Limited ("RTA"). The Notice and the Annual Report will also be available on the website of the Company at <https://www.emkayglobal.com/investor> relations, the website of the Stock Exchanges i.e. BSE Limited and National Stock Exchange of India Limited at www.bseindia.com and www.nseindia.com respectively. The Notice will also be available on the website of Central Depository Services (India) Limited at www.evotingindia.com. All the documents referred in the notice are available for inspection electronically from the date of dispatch of notice till Friday, 28th August 2020. Members who wish to inspect such documents are requested to write to the company at secretarial@emkayglobal.com.

Pursuant to provisions of Section 91 of the Act read with Rule 10 of the Companies (Management and Administration) Rules, 2014 and Regulation 42 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Register of Members and Share Transfer Books of the Company will remain closed from Saturday, 22nd August, 2020 to Friday, 28th August, 2020 (both days inclusive) for the purpose of AGM for the financial year 2019-20.

Members are permitted to join the AGM through VC/OAVM 30 minutes before and after the scheduled time of commencement of the AGM through the facility provided by CDSL at www.evotingindia.com by using the login credentials and selecting the EVSN for the Company's AGM. The procedure for joining the AGM is mentioned in the Notice. The attendance of the members participating in the AGM through VC/OAVM will be counted for the purpose of ascertaining the quorum under section 103 of the Act.

In terms of Section 108 of the Act read with Companies (Management and Administration) Rules, 2014 ("the Rules") and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Company is pleased to provide to its Members with the facility to cast their votes electronically through the e-voting services provided by Central Depository Services (India) Limited (CDSL) on all the resolutions set forth in the Notice of the AGM. Further, it is notified to the members that:

1. The remote e-voting period commences on 25th August, 2020 at 9.00 a.m. and ends on 27th August, 2020 at 5.00 p.m (IST).
2. Members who have cast their vote by remote e-voting prior to the AGM may attend/participate in the AGM through VC/OAVM but shall not be entitled to cast their vote again.
3. A person whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on the cut-off date i.e. 21st August 2020, only shall be entitled to avail the facility of remote e-voting or e-voting during the AGM.
4. Any person who acquires shares of the Company and becomes member of the Company after dispatch of the notice of Annual General Meeting and holding shares as of the cut-off date i.e. 21st August, 2020 may obtain the user ID and password by sending a request at helpdesk.evoting@cdslindia.com. However, if a member is already registered with CDSL for remote e-voting then existing user ID and Password can be used for casting their vote through remote e-voting. The Detailed procedure pertaining to the User ID and Password is provided in the Notice.
5. The members who are present at the AGM through VC/OAVM and have not casted their vote on the resolutions through remote e-voting shall be eligible to vote through e-voting during the AGM. The Detailed procedure and instruction for e-voting during the AGM are mentioned in the notice.
6. Members who have not yet registered their e-mail addresses are requested to register the same with their DPs in case the shares are held by them in dematerialized form and with Company/RTA in case the shares are held by them in physical form.

In case you have any queries or issues regarding attending AGM & e-voting, you may refer the Frequently Asked Questions ("FAQs") and e-voting manual available at www.evotingindia.com, under help section or write an email to helpdesk.evoting@cdslindia.com or contact to Mr. Rakesh Dalvi (022-23058542).

for Emkay Global Financial Services Limited

Place : Mumbai

Date : 4th August 2020

B. M. Raul
Company Secretary &
Compliance Officer